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# Consistency of long-term elemental carbon trends from thermal and optical measurements in the IMPROVE network

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Abstract. Decreasing trends of elemental carbon (EC) have been reported at US Interagency Monitoring of PROtected Visual Environments (IMPROVE) network from 1990 to 2004, consistent with the phase-in of cleaner engines, residential biomass burning technologies, and prescribed burning practices. EC trends for the past decade are examined due to an upgrade of IMPROVE carbon instruments and the thermal/optical analysis protocol since 2005. Filter reflectance  $(\tau_R)$  values measured as part of the carbon analysis were retrieved from archived data and compared with EC for 65 sites with more complete records within 2000–2009. EC- $\tau_R$ relationships suggest minor changes of EC quantified by the original and upgraded instruments for most IMPROVE samples. EC and  $\tau_R$  show universal decreasing trends across the US. The EC and  $\tau_R$  trends are correlated, with national average downward rates (relative to the 2000-2004 baseline medians) of 4.5 % yr<sup>-1</sup> for EC and 4.1 % yr<sup>-1</sup> for  $\tau_R$ . The consistency between independent EC and  $\tau_R$  measurements adds to the weight of evidence that EC reductions are real rather than an artifact of changes to the measurement process.

#### 1 Introduction

Elemental carbon (EC), a light-absorbing carbon (LAC) component as determined by thermal/optical methods, is the dominant aerosol fraction that absorbs visible radiation in the troposphere (Andreae and Gelencsér, 2006). This fraction is often termed "black carbon" (BC) if quantified by optical

or photoacoustic methods (Moosmüller et al., 2009). EC aerosols from incomplete fuel combustion are non-spherical and internally mixed with organic carbon (OC) (Chakrabarty et al., 2006a, b; Chen et al., 2010). Jacobson (2009) estimates the 100-yr global-warming potential (GWP) of EC+OC from fossil- and bio-fuel combustion to be 800–1300 relative to carbon dioxide (CO<sub>2</sub>). Reducing EC emissions could be a short-term and cost-effective method for slowing global warming (Jacobson, 2002; Bond and Sun, 2005), as well as providing co-benefits for public health, visibility, and material damage (Chow and Watson, 2011).

Long-term monitoring of aerosol chemical composition in the US Interagency Monitoring of PROtected Visual Environments (IMPROVE) network (Watson, 2002) reveals a decreasing trend in average EC concentrations by over 25 % from 1990 to 2004 for the entire country (Murphy et al., 2011) as well as decreases in EC of 40-60% for urban and non-urban California sites from 1988 to 2007 (Bahadur et al., 2011a, b; Schichtel et al., 2011). These trends are consistent with emission reduction measures implemented to attain PM<sub>2.5</sub> and PM<sub>10</sub> National Ambient Air Quality Standards for engine exhaust (Lloyd and Cackette, 2001), residential wood combustion (Hough and Kowalczyk, 1983; Butler, 1988; Hough et al., 1988), and prescribed burning (Riebau and Fox, 2001; Tian et al., 2008). Even though IMPROVE data are available through 2009, Murphy et al. (2011) chose to exclude data from 2005 onward owing to potential biases that might have been caused by an upgrade in IMPROVE carbon instruments beginning in 2005. Chow et al. (2007)

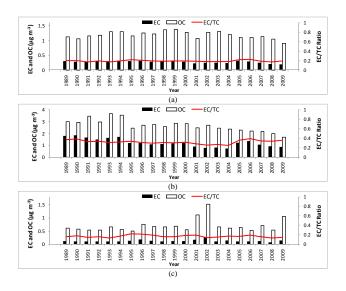
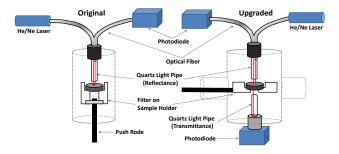


Fig. 1. Annual average elemental carbon (EC), organic carbon (OC), and the ratio of EC to total carbon (TC = OC + EC) for: (a) all IMPROVE data, (b) downtown Washington DC (U1), and (c) Bryce Canyon National Park (CP1) between 1989 and 2009. Data were acquired from the Visibility Information Exchange Web System (VIEWS) website (http://views.cira.colostate.edu/). An EC increase from 2004 to 2005 corresponds with the carbon instrument upgrade for (a) and (b), but this is not observed at every site, as shown in (c).

demonstrated equivalence between measurements made with the original (Chow et al., 1993) and upgraded (Chow et al., 2007, 2011) instruments for hundreds of samples from a variety of environments. However, average EC concentrations and EC/total carbon (TC) ratios increased at some (but not all) IMPROVE sites from 2004 to 2005, as illustrated in Fig. 1. The objective of this study is to investigate the recent (2000–2009) trends in IMPROVE EC along with those of filter reflectance, which serves as an independent surrogate for EC.

The IMPROVE thermal/optical reflectance (TOR) analysis protocol separates EC from OC on filter samples by temperature-dependent volatilization and oxidation. EC is defined as carbon that does not evolve at  $\sim 580$  °C in an inert helium (He) atmosphere and is subsequently oxidized to CO<sub>2</sub> with the introduction of oxygen (2%) at higher temperatures, up to 840 °C. A fraction of OC chars in the inert atmosphere, as evidenced by decreases in light (632.8 nm He-neon (Ne) laser) reflected from the aerosol deposit on the filter surface during the analysis (Fig. 2). Pyrolyzed OC (POC) is defined as the carbon evolved after oxygen is introduced and before the reflected light intensity returns to its original value (i.e., the reflectance crossover). POC is subtracted from apparent EC measurement to yield "native" EC concentration in the sampled air. When all of the carbon has evolved, the remaining filter is usually white, similar to the appearance of a blank



**Fig. 2.** Schematics of optical monitoring system in the original (left) and upgraded (right) carbon instrument. The laser beam is directed to the sample through a coaxial optical fiber and a quartz light pipe (perpendicular and  $\sim 2 \text{ mm}$  to the filter sample) by which the reflected light is acquired. The sample holder is redesigned in the upgraded instrument to allow collection and detection of the transmitted light. The dashed boxes illustrate the heating zone for thermal analysis.

filter. Non-white filters are occasionally found during dust events, and these are flagged as part of the IMPROVE protocol.

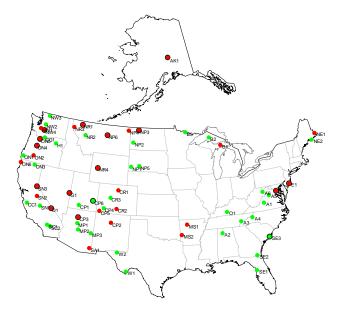
The 2005 carbon instrument upgrade led to a transition from the IMPROVE to IMPROVE\_A thermal/optical analysis protocol (Chow et al., 1993, 2007). The new protocol did not change the temperatures plateaus but rather reflected "actual" analysis temperatures that had been implemented since the inception of the IMPROVE network (Chow et al., 2005). With improved electronics and sealing, the upgraded instrument allows for more precise temperature control, flexible data acquisition, a higher intensity laser light beam, and lower trace oxygen levels in the inert He atmosphere than did the original instrument (Chow et al., 2011). It also enables simultaneous monitoring of filter reflectance and transmittance without changing the reflectance measurement configuration (Fig. 2). Since 2005, reflectance as well as transmittance crossover has been used for charring correction. Thermal/optical transmittance (TOT) often reports higher POC and lower EC than TOR. Chen et al. (2004) and Chow et al. (2004) attributed this to charring of organic vapors adsorbed within the filter (Watson et al., 2009; Chow et al., 2010) which attenuate transmittance substantially but have a minor effect on reflectance from the surface deposit. EC hereafter refers to TOR EC.

Optical measurements designed for charring correction provide alternatives for quantifying EC or BC abundances on filters. Filter attenuation using reflected light ( $\tau_R$ ) or transmitted light ( $\tau_T$ ) is defined as

$$\tau_R = -\ln(R/R_0) \tag{1}$$

$$\tau_T = -\ln(T/T_0),\tag{2}$$

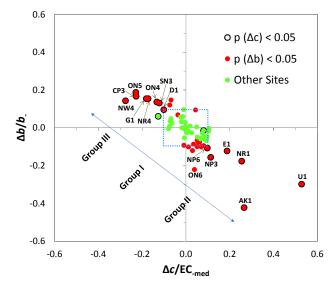
where  $R_0$  and  $T_0$  are reflectance and transmittance, i.e., the reflected and transmitted light intensity, of blank filters, respectively, while R and T are reflected and transmitted light



**Fig. 3.** Sixty-five IMPROVE sites in 25 regions (see Table 1 for definitions). Color codes indicate the changes of EC- $\tau_R$  regression coefficients across the instrumental upgrade in 2005. Red: significant change in slope (p < 0.05); solid edge: significant change in intercept (p < 0.05); green: all other sites without significant changes. See text for details.

intensities of particle-laden filters (prior to carbon analysis), respectively.  $\tau_R$  or  $\tau_T$  can be a practically linear function of the light absorption coefficient  $(b_{abs})$  for filter samples (Lindberg et al., 1999; Quincey, 2007). The widely deployed aethalometer (Hansen et al., 1984) and particle-soot absorption photometer (PSAP; Bond et al., 1999) estimate  $b_{abs}$  from  $\tau_T$  that is then converted to BC loading using assumed mass absorption efficiencies derived from simultaneous EC measurements (Watson et al., 2005 and references therein).  $b_{abs}$ and BC based on  $\tau_R$  are also reported (e.g., Edwards et al., 1983; Janssen et al., 2011).  $\tau_R$  could be more variable in estimating  $b_{abs}$  than  $\tau_T$  since the angular distribution of reflectance is more sensitive to the chemical composition of particle deposits (Kopp et al., 1999; Petzold and Schönlinner, 2004). Nonlinearity among  $b_{abs}$  (or BC),  $\tau_R$ , and  $\tau_T$  increases with higher sample loading (Arnott et al., 2005) though it was shown in Chen et al. (2004) that the linear relationship between reflectance and transmittance holds up to an EC loading equivalent to  $\sim 20 \,\mu g \, cm^{-2}$  on a filter or  $\sim 2 \,\mu g \, m^{-3}$  in ambient air for IMPROVE network samples (32.7 m<sup>3</sup> of air sampled through a  $3.53 \,\mathrm{cm}^2$  filter area).

Since  $\tau_R$ , a measurement of the darkness of the filter deposit, was recorded for every IMPROVE sample before, during, and after the instrument upgrade and is independent of the evolved carbon quantification, it can be used as an independent indicator of EC. Investigating the EC and  $\tau_R$  relationship before and after the instrument upgrade is essential. This relationship could be site-, and possibly season-specific,



**Fig. 4.** Changes in EC- $\tau_R$  robust regression intercept ( $\Delta c$ )/slope ( $\Delta b$ ) relative to median EC (EC<sub>-med</sub>)/regression slope ( $b_-$ ) prior to 2005. Red: significant change in slope (p < 0.05); solid edge: significant change in intercept (p < 0.05); green: all other sites without significant changes. Group I consists of 36 sites with  $\Delta b$  not significantly different from zero. Group II consists of 17 sites with negative  $\Delta b$  that are significantly different from zero, and Group III consists of 12 sites with positive  $\Delta b$  that are significantly different from zero.

considering the diverse environments represented by IM-PROVE samples. Determining  $\tau_R$  trends provides additional weight of evidence for observed EC trends.

#### 2 Methodology

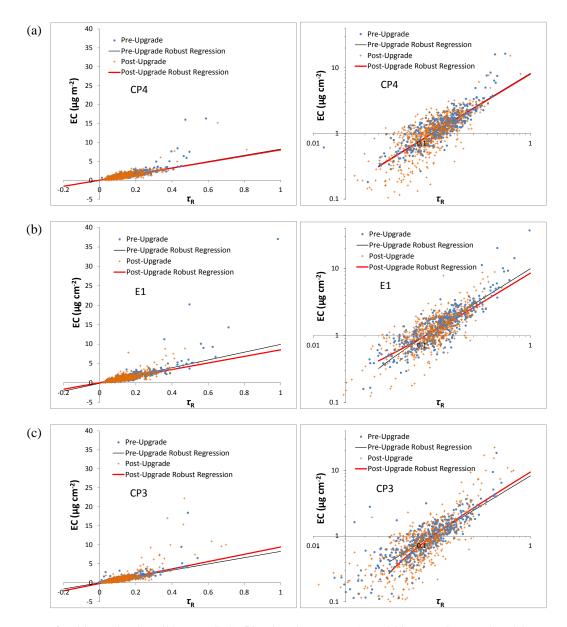
Digital thermograms (which record one second values for temperature, reflectance, and carbon content) for  $> 83\,000$ IMPROVE samples acquired by 24-h sampling on every third day from CY2000 through CY2009 were reprocessed to obtain the initial (dark aerosol deposit) and final (white filter) reflectance values. Data recovery varied by site; typically exceeding 92 % for 2005–2009, but < 80 % for 2000–2004 due to deteriorating storage media (floppy disks and CD-ROMs; it was not practical to recover data from the paper documentation). The 65 sites with the longest records and highest data recovery rates are listed in Table 1 and used for subsequent analysis. Each of these sites contains 80-120 samples per year (20-30 samples per season). They represent 25 US geographic regions as described in Table 1 (see Fig. 3 for the site locations).  $\tau_R$  was calculated per Eq. (1) from a ten-second average of the initial and final reflectance for each sample. The final reflectance represents effective  $R_0$  as all EC has been removed from the filter.

	Location						Data completeness*	
Regions	Code	Name	Class I Area	Latitude	Longitude	MSL (m)	2000-2004	2005-200
Northeast	NE1	MOOS1	Moosehorn NWR	45.1259	-67.2661	77	73 %	97 9
. orthouse	NE2	ACAD1	Acadia NP	44.3771	-68.261	157	78 %	99 9
East Coast	E1	BRIG1	Brigantine NWR	39.465	-74.4492	5	80 %	95 %
Urban	Ul	WASH1	Washington D.C.	38.8762	-77.0344	15	71 %	93 9
			· ·					
Appalachia	A1	JARI1	James River Face Wilderness	37.6266	-79.5125	289	72 %	99 9 92 9
	A2 A3	SIPS1 GRSM1	Sipsy Wilderness Great Smoky Mountains NP	34.3433 35.6334	-87.3388 -83.9416	286 810	72 % 73 %	92 \
	A4	LIG01	Linville Gorge	35.9723	-81.9331	968	72 %	93 9
	A5	SHEN1	Shenandoah NP	38.5229	-78.4348	1079	73 %	97 9
	A6	DOSO1	Dolly Sods Wilderness	39.1053	-79.4261	1182	74 %	100 9
Southeast	SE1	CHAS1	Chassahowitzka NWR	28.7484	-82.5549	4	77 %	95 9
	SE2	OKEF1	Okefenokee NWR	30.7405	-82.1283	48	80 %	98 9
	SE3	ROMA1	Cape Romain NWR	32.941	-79.6572	4	77 %	97 9
Boundary Waters	B1	SENE1	Seney	46.2889	-85.9503	214	75 %	97 9
	B2	ISLE1	Isle Royale NP	47.4596	-88.1491	182	78 %	969
	B3	VOYA1	Voyageurs NP #1	48.4132	-92.8303	425	71 %	92 9
Ohio River Valley	01	MACA1	Mammoth Cave NP	37.1318	-86.1479	235	75 %	99 9
Mid South	MS1	UPBU1	Upper Buffalo Wilderness	35.8258	-93.203	722	70 %	95 %
	MS2	CACR1	Caney Creek	34.4544	-94.1429	683	72 %	93 9
Northern Great Plains	NP1	WICA1	Wind Cave	43.5576	-103.484	1296	71 %	93
	NP2	THRO1	Theodore Roosevelt	46.8948	-103.378	852	70 %	97 9
	NP3	LOST1	Lostwood	48.6419	-102.402	696	76%	91 9
	NP4 NP5	MELA1 BADL1	Medicine Lake Badlands NP	48.4871 43.7435	-104.476 -101.941	606 736	70 % 74 %	96 9 99 9
	NP5 NP6	ULBE1	UL Bend	43.7433	-101.941 -108.72	891	74 % 75 %	99
							70 %	94 9
West Texas	W1 W2	BIBE1 GUMO1	Big Bend NP Guadalupe Mountains NP	29.3027 31.833	-103.178 -104.809	1066 1672	70 % 78 %	94
C ( 10 1)			-					
Central Rockies	CR1 CR2	ROMO2 GRSA1	Rocky Mountain NP Great Sand Dunes NM	40.2783 37.7249	-105.546 -105.519	2760 2498	74 % 76 %	98 9 93 9
	CR3	WHRI1	White River NF	39.1536	-106.821	3413	76%	969
Coloredo Distant								
Colorado Plateau	CP1 CP2	BRCA1 BAND1	Bryce Canyon NP Bandelier NM	37.6184 35.7797	-112.174 -106.266	2481 1988	74 % 76 %	95 9 94 9
	CP3	HANC1	Hance Camp at Grand Canyon NP	35.9731	-111.984	2267	75 %	96
	CP4	WEMI1	Weminuche Wilderness	37.6594	-107.8	2750	75 %	99 9
	CP5	MEVE1	Mesa Verde NP	37.1984	-108.491	2172	72 %	96 9
	CP6	CANY1	Canyonlands NP	38.4587	-109.821	1798	71 %	93 9
Southern Arizona	SA1	CHIR1	Chiricahua NM	32.0094	-109.389	1554	70 %	95 9
Mogollon Plateau	MP1	SYCA1	Sycamore Canyon	35.1406	-111.969	2046	70 %	94 9
	MP2	IKBA1	Ike's Backbone	34.3405	-111.683	1297	74 %	97 9
	MP3	BALD1	Mount Baldy	34.0584	-109.441	2508	70 %	96 9
Northern Rockies	NR1	GLAC1	Glacier NP	48.5105	-113.997	975	74 %	94 9
	NR2	MONT1	Monture	47.1222	-113.154	1282	70 %	96 9
	NR3	CABI1	Cabinet Mountains	47.9549	-115.671	1441	71 %	95 9
	NR4	BRID1	Bridger Wilderness	42.9749	-109.758	2626	78 %	94 9
Great Basin	G1	GRBA1	Great Basin NP	39.0052	-114.216	2065	70 %	96
Southern California	SC1	SAGO1	San Gorgonio Wilderness	34.1939	-116.913	1726	71 %	98 9
	SC2	JOSH1	Joshua Tree NP	34.0695	-116.389	1235	74 %	95 9
	-	DEVA1	Death Valley NP	36.5089	-116.848	130	70 %	96 9
Death Valley	D1	DEVA1					74 %	98 9
Death Valley Hell's Canyon			Starkey	45,2249	-118.513	1259		
Hell's Canyon	H1	STAR1	Starkey	45.2249	-118.513	1259		
•	H1 SN1	STAR1 SEQU1	Sequoia NP	36.4894	-118.829	519	72 %	96
Hell's Canyon	H1 SN1 SN2	STAR1 SEQU1 YOSE1	Sequoia NP Yosemite NP	36.4894 37.7133	-118.829 -119.706	519 1603	72 % 75 %	96 9 94 9
Hell's Canyon Sierra Nevada	H1 SN1 SN2 SN3	STAR1 SEQU1 YOSE1 BLIS1	Sequoia NP Yosemite NP Bliss SP (TRPA)	36.4894 37.7133 38.9761	-118.829 -119.706 -120.103	519 1603 2130	72 % 75 % 71 %	96 9 94 9 93 9
Hell's Canyon Sierra Nevada Columbia River Gorge	H1 SN1 SN2 SN3 CG1	STAR1 SEQU1 YOSE1 BLIS1 CORI1	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge	36.4894 37.7133 38.9761 45.6644	-118.829 -119.706 -120.103 -121.001	519 1603 2130 178	72 % 75 % 71 % 76 %	96 94 93 96
Hell's Canyon Sierra Nevada Columbia River Gorge	H1 SN1 SN2 SN3	STAR1 SEQU1 YOSE1 BLIS1	Sequoia NP Yosemite NP Bliss SP (TRPA)	36.4894 37.7133 38.9761	-118.829 -119.706 -120.103	519 1603 2130	72 % 75 % 71 %	96 94 93 96
Hell's Canyon Sierra Nevada Columbia River Gorge California Coast	H1 SN1 SN2 SN3 CG1 CC1 NW1	STAR1 SEQU1 YOSE1 BLIS1 CORI1 PINN1 MORA1	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583	-118.829 -119.706 -120.103 -121.001	519 1603 2130 178 302 439	72 % 75 % 71 % 76 % 72 %	96 9 94 9 93 9 96 9 97 9 93 9
Hell's Canyon Sierra Nevada Columbia River Gorge California Coast	H1 SN1 SN2 SN3 CG1 CC1 NW1 NW2	STAR1 SEQU1 YOSE1 BLIS1 COR11 PINN1 MORA1 SNPA1	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP Snoqualmie Pass	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583 47.422	-118.829 -119.706 -120.103 -121.001 -121.157 -122.124 -121.426	519 1603 2130 178 302 439 1049	72 % 75 % 71 % 76 % 72 % 75 % 73 %	96 94 93 96 97 97 93 97
Hell's Canyon Sierra Nevada Columbia River Gorge California Coast	H1 SN1 SN2 SN3 CG1 CC1 NW1 NW2 NW3	STAR1 SEQU1 YOSE1 BLIS1 CORI1 PINN1 MORA1 SNPA1 NOCA1	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP Snoqualmie Pass North Cascades	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583 47.422 48.7316	-118.829 -119.706 -120.103 -121.001 -121.157 -122.124 -121.426 -121.065	519 1603 2130 178 302 439 1049 568	72 % 75 % 71 % 76 % 72 % 75 % 73 % 70 %	96 94 93 96 97 97 93 97 93 97
Hell's Canyon Sierra Nevada Columbia River Gorge California Coast Northwest	H1 SN1 SN2 SN3 CG1 CC1 NW1 NW2 NW3 NW4	STAR1 SEQU1 YOSE1 BLIS1 CORI1 PINN1 MORA1 SNPA1 NOCA1 WHPA1	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP Snoqualmie Pass North Cascades White Pass	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583 47.422 48.7316 46.6243	-118.829 -119.706 -120.103 -121.001 -121.157 -122.124 -121.426 -121.065 -121.388	519 1603 2130 178 302 439 1049 568 1827	72 % 75 % 71 % 76 % 72 % 75 % 73 % 70 % 75 %	96 94 93 96 97 97 97 97 94 95
Hell's Canyon Sierra Nevada Columbia River Gorge California Coast Northwest Oregon and Northern	H1 SN1 SN2 SN3 CG1 CC1 NW1 NW2 NW3 NW4 ON1	STAR1 SEQU1 YOSE1 BLIS1 COR11 PINN1 MORA1 SNPA1 NOCA1 WHPA1 KALM1	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP Snoqualmie Pass North Cascades White Pass Kalmiopsis	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583 47.422 48.7316 46.6243 42.552	-118.829 -119.706 -120.103 -121.001 -121.157 -122.124 -121.426 -121.065 -121.388 -124.059	519 1603 2130 178 302 439 1049 568 1827 80	72% 75% 71% 76% 72% 75% 73% 70% 75% 80%	96 94 93 96 97 97 93 97 97 93 97 95 98
Hell's Canyon Sierra Nevada Columbia River Gorge California Coast Northwest Oregon and Northern	H1 SN1 SN2 SN3 CG1 CC1 NW1 NW2 NW3 NW4 ON1 ON2	STAR1 SEQU1 YOSE1 BLIS1 CORI1 PINN1 MORA1 SNPA1 NOCA1 WHPA1 KALM1 CRLA1	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP Snoqualmie Pass North Cascades White Pass Kalmiopsis Crater Lake NP	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583 47.422 48.7316 46.6243 42.552 42.8958	-118.829 -119.706 -120.103 -121.001 -121.157 -122.124 -121.426 -121.065 -121.388 -124.059 -122.136	519 1603 2130 178 302 439 1049 568 1827 80 1996	72% 75% 71% 76% 72% 75% 70% 70% 75%	96 94 93 96 97 97 97 97 94 95 98 98 94
Hell's Canyon Sierra Nevada Columbia River Gorge California Coast Northwest Oregon and Northern	H1 SN1 SN2 SN3 CG1 CC1 NW1 NW2 NW3 NW4 ON1 ON2 ON3	STARI SEQUI YOSEI BLISI PINNI MORAI SNPAI NOCAI WHPAI KALMI CRLAI LABEI	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP Snoqualmie Pass North Cascades White Pass Kalmiopsis Crater Lake NP Lava Beds NM	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583 47.422 48.7316 46.6243 42.552 42.8958 41.7117	$\begin{array}{c} -118.829\\ -119.706\\ -120.103\\ \hline \\ -121.001\\ -121.157\\ -122.124\\ -121.426\\ -121.065\\ -121.388\\ \hline \\ -124.059\\ -122.136\\ -121.507\\ \end{array}$	519 1603 2130 178 302 439 1049 568 1827 80 1996 1459	72 % 75 % 71 % 76 % 72 % 75 % 73 % 70 % 75 % 80 % 70 % 70 %	966 944 933 966 977 933 957 955 988 944 955
Hell's Canyon Sierra Nevada	H1 SN1 SN2 SN3 CG1 CC1 NW1 NW2 NW3 NW4 ON1 ON2 ON3 ON4	STARI SEQUI YOSEI BLISI CORII PINNI MORAI SNPAI NOCAI WHPAI KALMI CRLAI LABEI THSII	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP Snoqualmie Pass North Cascades White Pass Kalmiopsis Crater Lake NP Lava Beds NM Three Sisters Wilderness	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583 47.422 48.7316 46.6243 42.8958 41.7117 44.291	-118.829 -119.706 -120.103 -121.001 -121.157 -122.124 -121.426 -121.065 -121.388 -124.059 -122.136 -121.507 -122.043	519 1603 2130 178 302 439 1049 568 1827 80 1996 1459 885	72% 75% 71% 76% 72% 73% 73% 70% 75% 80% 70% 70% 70% 74%	966 944 933 966 977 937 957 954 955 944 955 956 944 955
Hell's Canyon Sierra Nevada Columbia River Gorge California Coast Northwest Oregon and Northern	H1 SN1 SN2 SN3 CG1 CC1 NW1 NW2 NW3 NW4 ON1 ON2 ON3	STARI SEQUI YOSEI BLISI PINNI MORAI SNPAI NOCAI WHPAI KALMI CRLAI LABEI	Sequoia NP Yosemite NP Bliss SP (TRPA) Columbia River Gorge Pinnacles NM Mount Rainier NP Snoqualmie Pass North Cascades White Pass Kalmiopsis Crater Lake NP Lava Beds NM	36.4894 37.7133 38.9761 45.6644 36.4833 46.7583 47.422 48.7316 46.6243 42.552 42.8958 41.7117	$\begin{array}{c} -118.829\\ -119.706\\ -120.103\\ \hline \\ -121.001\\ -121.157\\ -122.124\\ -121.426\\ -121.065\\ -121.388\\ \hline \\ -124.059\\ -122.136\\ -121.507\\ \end{array}$	519 1603 2130 178 302 439 1049 568 1827 80 1996 1459	72 % 75 % 71 % 76 % 72 % 75 % 73 % 70 % 75 % 80 % 70 % 70 %	966 944 933 966 977 933 957 955 988 944 955

## Table 1. Region, location, and data completeness (2000–2009) of EC and $\tau_R$ for 65 IMPROVE sites selected for this study.

\* Complete EC- $\tau_R$  pairs, where EC = elemental carbon and  $\tau_R = -\ln(R/R_0)$  as filter attenuation with respect to reflectance.





**Fig. 5.** EC- $\tau_R$  scatter for: (a) Wemianche Wilderness (CP4), (b) Brigantine NWR (E1), and (c) Hance Camp at Grand Canyon NP (CP3) as an example of Group I, II, and III sites, respectively. Pre- and post-instrument upgrade periods (i.e., 2000–2004 and 2005–2009, respectively) are separated for robust regression analysis. Left panels: linear scale; right panels: log scale.

Pre- and post-upgrade  $\tau_R$  at a particular IMPROVE site are related to EC through a linear model:

$$EC_{-} = c_{-} + b_{-} \times \tau_{R-} \tag{3}$$

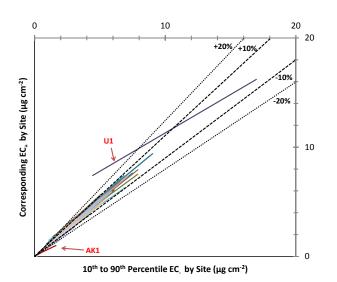
$$EC_{+} = c_{+} + b_{+} \times \tau_{R+}, \tag{4}$$

where bold italics indicate column vectors of EC or  $\tau_R$  including all pre (-)/post (+) upgrade (on 1 January 2005) data, and *c* and *b* are regression coefficients (*c*: intercept; *b*: slope). *c* and *b* are expected to differ (i.e.,  $c_+ \neq c_-$  and/or  $b_+ \neq b_-$ ) only if the instrument upgrade introduced a bias in EC that is larger than typical measurement uncertainties. To

examine the changes in c and b, Eqs. (3) and (4) are nested into

$$\begin{pmatrix} EC_{-} \\ EC_{+} \end{pmatrix} = c_{-} \begin{pmatrix} I \\ I \end{pmatrix} + \Delta c \begin{pmatrix} O \\ I \end{pmatrix} + b_{-} \begin{pmatrix} \tau_{R-} \\ \tau_{R+} \end{pmatrix} + \Delta b \begin{pmatrix} O \\ \tau_{R+} \end{pmatrix}$$
(5)

where I and O are unit and zero column vectors and  $\Delta c$  and  $\Delta b$  represents  $c_+ - c_-$  and  $b_+ - b_-$ , respectively. Meaningful changes in c and b would lead to  $\Delta c$  and  $\Delta b$  that differ from zero at a statistically significant level (Gujarati, 1970a, b). A robust least-squares regression method that lowers the influence of outliers was applied to determine the coefficients and respective standard errors and p-values in Eq. (5). This



**Fig. 6.** EC<sub>+</sub> (after instrument upgrade) vs. EC<sub>-</sub> (before upgrade) relationships derived from robust regression analysis. Relationships of EC<sub>+</sub> and EC<sub>-</sub> with  $\tau_R$  are determined separately, and then EC<sub>+</sub> is related to EC<sub>-</sub> by eliminating  $\tau_R$  in simultaneous equations. Each solid line represents one of the 65 sites stretching from 10th to 90th percentile of EC<sub>-</sub>. Dashed lines indicate  $\pm 10\%$  or  $\pm 20\%$  deviations.

is achieved by Matlab<sup>®</sup> robustfit function with the Huber iterative reweighting algorithm (Dutter and Huber, 1981).

Statistical consistency of *c* and *b* pre- and post-2005 (i.e., non-significant  $\Delta c$  and  $\Delta b$ ) result from relatively small  $\Delta c$  and  $\Delta b$  or large standard errors. The latter suggests an insufficient correlation between EC and  $\tau_R$  for  $\tau_R$  to be a good predictor for EC. Therefore, it is important to examine the regression's correlation coefficient as well as the fractional changes in *b* and *c*, e.g.,  $\Delta b/b_-$  and  $\Delta c/\text{EC}_{-\text{med}}$  (EC<sub>-med</sub>: median *EC*<sub>-</sub> concentration).  $\Delta c/\text{EC}_{-\text{med}}$  is a better evaluation of changes in  $\Delta c$  than  $\Delta c/c_-$  since  $c_-$  is usually small to near zero. Lower and Thompson (1988) show that *EC*<sub>+</sub> can be related to *EC*<sub>-</sub> by solving Eqs. (3) and (4) after *c* and *b* are determined. This relationship would be the best estimate for the relationship between *EC*<sub>+</sub> and *EC*<sub>-</sub>, given that a direct regression is not possible.

EC and  $\tau_R$  trends were further assessed using a nonparametric Mann-Kendall (M-K) test (Kendall, 1975; Yue et al., 2002), which examines the sign of slopes for all possible data pairs and determines trend significance from the difference in positive and negative signs. All data acquired in the same year are considered as concurrent measurements (ties) in the test to minimize influence of intra-annual trends such as seasonal variations (Salas, 1993). M-K statistics yield Sen's slope (Sen, 1968; Burn and Hag Elnur, 2002), which is the median slope across all possible data pairs, and its p-value and confidence intervals. Sen's slope provides a more quantitative estimate of the trends. M-K statistics were calculated with Matlab<sup>®</sup> code provided by Burkey (2009).

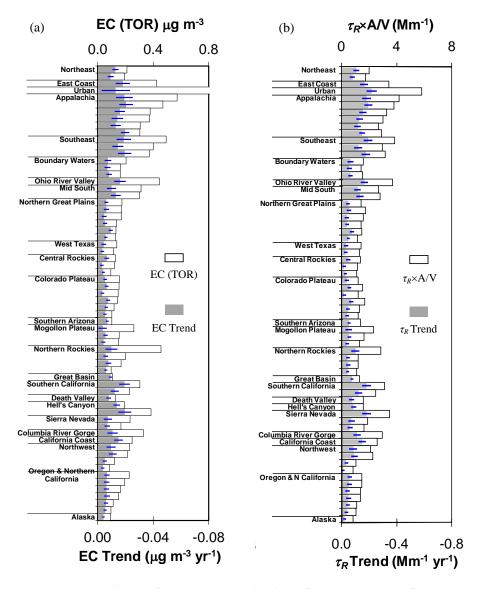
#### 3 Results and discussion

The majority of correlation coefficients (r) of EC versus  $\tau_R$ from Eq. (5) exceed 0.8 (Table S1 in the Supplement). Lower r is found for Urban, Appalachia, and Ohio River Valley sites with high EC concentrations, especially Washington D.C. (U1 in Fig. 3; r = 0.59) and James River Face Wilderness, Appalachia (A1, r = 0.67). Thirty-six of the 65 sites show no changes in regression slope prior to and after 2005 at the 5 % significance level (i.e.,  $p(\Delta b) > 0.05$ ). Thirty-four of the 36 sites, including all Appalachian sites, show no significant changes in regression intercept prior to and after 2005 (i.e.,  $p(\Delta c) > 0.05$ ).  $p(\Delta c)$  are < 0.05 but > 0.01 (1 % significance level) for the remaining two sites (Cape Romain NWR (Southeast, SE3) and Canyonlands NP (Colorado Plateau, CP6), see Table 1 and Fig. 3). The absolute values of  $\Delta b$ and  $\Delta c$  for these 36 sites are small, generally within 10% of  $b_{-}$  and EC<sub>-med</sub>, respectively (Group I in Fig. 4). There is no evidence that the instrument upgrade had an effect on EC measurements for samples taken at these sites.

The other 29 sites are separated into two groups according to Fig. 4. Group II (17 sites) exhibits negative  $\Delta b$  along with positive  $\Delta c$ . Six Group II sites have both  $\Delta b$  and  $\Delta c$  that are significantly different from zero (p < 0.05), including Brigantine NWR (E1), Washington DC (U1), Lostwood (NP3), UL Bend (NP6), Glacier NP (NR1), and Denali NP (AK1). These sites are located in eastern (E1, U1), northern, and northwestern states (NP3, NP6, NR1, AK1). Group III (12 sites) exhibits positive  $\Delta b$  and mostly negative  $\Delta c$ . Eight out of 12 Group III sites contain both  $\Delta b$  and  $\Delta c$  significantly different from zero (p < 0.05), including White Pass (NW4), Three Sisters Wilderness (ON4), Mount Hood (ON5), Bliss SP (SN3), Death Valley (D1), Great Basin (G1), Hance Camp at Grand Canyon NP (CP3), and Bridger Wilderness (NR4), all of which are located in the Western Cordillera area of the continental US (Fig. 3). Figure 5 shows examples of EC- $\tau_R$ scatter from these three groups.

The POC fraction generally increased for samples analyzed since the beginning of 2005 due to higher purity of the inert He atmosphere and more rigorous quality control of He purity (Chow et al., 2007, 2011). Even with the reflectance correction, some POC can be misclassified as EC, thereby increasing the EC fraction. This is more evident when EC/POC ratios are low and would likely move the EC- $\tau_R$  regression towards a higher intercept and lower-to-unchanged slope. Figure 4 is not consistent with this effect being dominant, except possibly at a few Group II sites including the Brigantine NWR site (E1; exemplified in Fig. 5b).

For Group III samples, low EC values tend to be even lower beginning in 2005 for the same  $\tau_R$  (e.g., Fig. 5c). The reason for this is unclear, though it might be related to different sensitivities of reflectance splits between the original and upgraded instruments for low EC levels. With an improved signal-to-noise ratio of the reflectance measurement, the upgraded instruments possibly trigger the split (crossover) later

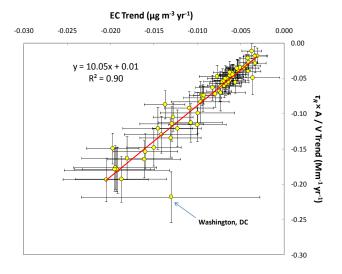


**Fig. 7.** Median (hollow bar) and trend (solid bar) of: (a) EC by thermal/optical reflectance (TOR) and (b)  $\tau_R$  at 65 IMPROVE sites. See Table 1 for site details. *A* and *V* are nominal filter area (3.53 cm<sup>2</sup>) and sample volume (32.7 m<sup>3</sup>), respectively. Medians are those of 2000–2004 baseline period. Trends are based on Sen's slope (2000–2009). The blue bar indicates the 95 % confidence interval of the trend.

than the original instruments, leading to lower EC fractions.  $\tau_R$  quantification is little influenced by the noise, as both R and  $R_0$  are averaged over 15 s before and after the thermal analysis. The opposite effects apparent for Groups II and III could occur simultaneously and offset each other to some extent.

The regression analysis was also carried out by season. However, such seasonal segregation does not reduce scatter around the best-fit lines (Fig. S1 in the Supplement). This suggests daily variability (due to changes in chemical composition and/or measurement uncertainty) comparable to seasonal variability in the EC- $\tau_R$  relationship and that yearround regression analyses are reasonably representative of all cases. To test whether extreme EC values due to special events such as wildfires can bias the robust regression, regressions were also calculated excluding EC >  $15 \,\mu g \, \text{cm}^{-2}$ . This test resulted in only minor changes in regression intercepts and slopes and did not influence the grouping of the 65 sites.

Since the regression slopes increase or decrease while intercepts decrease or increase (i.e., change in opposite direction), EC<sub>+</sub> may shift higher or lower compared to EC<sub>-</sub> depending on site and EC loading. Figure 6 shows, by site, the characteristic EC<sub>+</sub> vs. EC<sub>-</sub> relationships between the 10th and 90th EC<sub>-</sub> concentration percentiles, which contains 80% of the samples. The linear relationships were derived from Eqs. (3) and (4) by eliminating the common variable  $\tau_R$ , as suggested by Lower and Thompson (1988). EC<sub>+</sub> is



**Fig. 8.** A comparison of EC and  $\tau_R$  trends for 65 IMPROVE sites during 2000–2009. *A* and *V* are nominal filter area (3.53 cm<sup>2</sup>) and sample volume (32.7 m<sup>3</sup>), respectively. Trends are based on Sen's slope and the error bars represent the 95 % confidence intervals.

shown to be within  $\pm 10\%$  of EC<sub>-</sub>, for the most part. Larger deviations, e.g., 10-20% or -10 to -20%, are seen for EC<sub>-</sub>  $\leq 3 \,\mu g \, \text{cm}^{-2}$ . Two extreme outliers are the Washington, DC (U1) and Denali NP (AK1) sites, which experience the highest and lowest EC concentrations, respectively. There seems to be more variability in the EC responses between the original and upgraded instruments for the high and low extremes.

The robust M-K test confirms decreasing trends of EC from 2000 through 2009 (Fig. 7), with the largest and smallest changes observed at one Appalachian (Sipsy Wilderness; A2:  $-0.021 \,\mu\text{g}\,\text{m}^{-3}\,\text{yr}^{-1}$ ) and one Central Rockies (Great Sand Dunes, New Mexico; CR2:  $-0.003 \,\mu g \,m^{-3} \,yr^{-1}$ ) site, respectively. The trends are statistically significant for all 65 sites at the 5% significance level. This implies 1.3-8.3% reduction of ambient EC concentrations each year (scaled to EC-med as 2000-2004 represents the IMPROVE network baseline period). The national average trend, calculated from the percentage trends weighted by  $EC_{-med}$  at each site, would be -4.5 % per year. With an unweighted ordinary linear regression, Fig. S2 (Supplement) shows median EC decreasing at 3-5% per year from 2000-2009. Murphy et al. (2011) reported a lower value,  $\sim -2.2$  % EC per year, for March 1990-February 2004 for average, rather than median, EC concentrations.

Figure 7 also shows significant decreasing trends (p < 0.05) for  $\tau_R$  at all except one site in the Northwest (White Pass, Washington; NW4) where the p-value is 0.051 for the negative  $\tau_R$  trend ( $-0.099 \text{ Mm}^{-1} \text{ yr}^{-1}$ ). The EC and  $\tau_R$  trends are highly correlated, at  $r^2 = 0.9$  and slope =  $10 \text{ m}^2 \text{ g}^{-1}$  (Fig. 8). Washington, DC (U1 site), the only urban site in this dataset, is an outlier where EC<sub>+</sub> seems

much higher than EC\_ based on reflectance (Fig. 6), leading to a smaller EC trend than expected from the  $\tau_R$  trend. The EC trend at the U1 site contains a large uncertainty, and this may also be the case for other urban sites. The national average  $\tau_R$  trend, as scaled to  $\tau_{R-\text{med}}$  is -4.1% each year, also consistent with the national EC trend.

Although subtle changes are found in EC– $\tau_R$  relationships between the pre- and post-2005 periods, the consistency between recent EC and  $\tau_R$  trends for the majority of IMPROVE sites do not support that such changes have introduced a major or common bias for the EC trends. Environmental changes, probably due to changing EC emissions and year-to-year meteorological variability, are of larger influence than measurement uncertainties. EC concentrations appear to continue decreasing beyond the 1990–2004 period examined by Murphy et al. (2011) at an average rate of 4.1– 4.5 % per year. The Regional Haze Rule (US EPA, 1999) has set the goal of returning visibility to natural conditions by 2064. For EC, the natural concentrations are estimated to be ~ 10 % of the 2000–2004 baseline period. At the current rate of progress, this goal should be met by the 2064 deadline.

### Supplementary material related to this article is available online at: http://www.atmos-meas-tech.net/5/ 2329/2012/amt-5-2329-2012-supplement.pdf.

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