Two-wavelength thermal–optical determination of light-absorbing carbon in atmospheric aerosols

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Abstract. Thermal–optical analysis is widely adopted for the quantitative determination of total (TC), organic (OC), and elemental (EC) carbon in atmospheric aerosol sampled by suitable filters. Nevertheless, the methodology suffers from several uncertainties and artifacts such as the well-known issue of charring affecting the OC–EC separation. In the standard approach, the effect of the possible presence of brown carbon, BrC, in the sample is neglected. BrC is a fraction of OC, usually produced by biomass burning with a thermic behavior intermediate between OC and EC. BrC is optically active: it shows an increasing absorbance when the wavelength moves to the blue–UV region of the electromagnetic spectrum. Definitively, the thermal–optical characterization of carbonaceous aerosol should be reconsidered to address the possible BrC content in the sample under analysis.

We introduce here a modified Sunset Lab Inc. EC–OC analyzer. Starting from a standard commercial instrument, the unit has been modified at the physics department of the University of Genoa (Italy), making possible the alternative use of the standard laser diode at $\lambda = 635$ nm and of a new laser diode at $\lambda = 405$ nm. In this way, the optical transmittance through the sample can be monitored at both wavelengths. Since at shorter wavelengths the BrC absorbance is higher, a better sensitivity to this species is gained. The modified instrument also gives the possibility to quantify the BrC concentration in the sample at both wavelengths. The new unit has been thoroughly tested, with both artificial and real-world aerosol samples: the first experiment, in conjunction with the multi-wavelength absorbance analyzer (MWAA; Massabò et al., 2013, 2015), resulted in the first direct determination of the BrC mass absorption coefficient (MAC) at $\lambda = 405$ nm: $\text{MAC} = 23 \pm 1 \text{ m}^2 \text{ g}^{-1}$.

1 Introduction

Light-absorbing carbon (LAC) is the fraction of carbonaceous aerosol that can absorb electromagnetic radiation in the visible or near-visible range (Pöschl, 2003; Bond and Bergstrom, 2006; Moosmüller et al., 2009; Ferrero et al., 2018). A wide literature investigates and characterizes the optical properties of the inorganic-refractory LAC fraction, usually referred as black carbon, BC (e.g., Bond et al., 2013, and references therein), which is strongly absorbing from UV to infrared (IR) ranges, with a weak dependence on wavelength (Bond and Bergstrom, 2006; Moosmüller et al., 2009). Much less studied and understood is the organic LAC, often labeled as brown carbon (BrC), which appears to be optically active at wavelengths shorter than 650 nm and with an increasing absorbance moving to the blue and ultraviolet (UV) range (Pöschl, 2003; Andreae and Gelencsér, 2006; Moosmüller et al., 2011; Laskin et al., 2015; Olson et al., 2015). BrC can therefore be considered to be the “optically active” part of the OC dispersed in the atmosphere. When considered from a thermochemical point of view, BrC also shows a refractory behavior since, in an inert atmosphere, it volatilizes at temperatures greater than 400°C only (Chow et al., 2015). A discussion on the primary and secondary sources of atmospheric LAC is outside the scope of the present work; we simply remind the reader that primary BrC is produced mainly by biomass burning even if, in some cases, incomplete combustion of fossil fuels used in transport activities (i.e., terrestrial vehicles, ships and aircrafts) can also generate this kind of compound (Corbin et al., 2018). It is also worth underlining that carbonaceous aerosols impact human health (Pope and Dockery, 2006; Chow et al., 2006; Mauderly and Chow, 2008), as well as climate and environment (Bond and...
In the wider landscape of atmospheric carbonaceous aerosol, despite a worldwide diffused effort, the situation is not satisfactory and a standardized and conclusive approach is still missing. The quantitative determination of total, organic, and elemental carbon (TC, OC, and EC) is often performed by a thermal–optical analysis (Birch and Cary, 1996; Watson et al., 2005; Hitzenberger et al., 2006) of aerosol samples collected on quartz-fiber filters. However, thermal–optical analyses are affected by several issues and artifacts (Yang and Yu, 2002; Chow et al., 2004) and different laboratories/agencies adopt protocols which systematically result in discrepancies, particularly large in the EC quantification (Birch and Cary, 1996; Chow et al., 2007; Cavalli et al., 2010). A further issue arises when the effects of the possible presence of BrC in the sample are taken into account. So far, the monitoring of the sample transmittance during the thermal cycle has been introduced to correct for the well-known charring effect and the formation of pyrolytic carbon (Birch and Cary, 1996). This implies that BC is the sole absorbing compound at the wavelength implemented in the thermal–optical analyzer (for instance at $\lambda = 635$ nm, the wavelength of the laser diode mounted in the extremely diffused Sunset Lab. Inc. EC–OC analyzer). Basically, with a sizeable concentration of BrC in the sample, one of the key assumptions of the thermal–optical methods fails and the EC–OC separation is even more unstable (not to say that, by design, the BrC quantification is not possible). This issue was preliminarily addressed by Chen et al. (2015) by a multi-wavelength thermal–optical reflectance and thermal–optical transmittance (TOR–TOT) instrument (thermal spectral analysis – TSA) and further investigated in Massabò et al. (2016). In the latter work, a method to correct the results of a standard Sunset analyzer and to retrieve the BrC concentration in the sample was introduced. The achievement was possible thanks to a synergy with the information provided by the multi-wavelength absorbance analyzer, MWAA (Massabò et al., 2015) developed in the same laboratory. A further step towards BrC quantification through the utilization of TSA was discussed in Chow et al. (2018), where it was proven that the use of seven wavelengths in thermal–optical carbon analysis allows contributions from biomass burning and secondary organic aerosols to be estimated. It is worth noting that the biomass burning contribution to PM concentration can also be estimated by other methods such as aerosol mass spectrometry, AMS (Daellenbach et al., 2016).

The MWAA approach allows the determination of the spectral dependence of the aerosol absorption coefficient ($b_{\text{abs}}$), which can be generally described by the power-law relationship $b_{\text{abs}}(\lambda) \sim \lambda^{-\text{AAE}}$, where AAE is the Ångström absorption exponent. Several works reported AAE values which depend on the aerosol chemical composition (Kirchstetter et al., 2004; Utry et al., 2013) as well as its size and morphology (Lewis et al., 2008; Lack et al., 2012; Lack and Langridge, 2013; Filep et al., 2013; Utry et al., 2014). Furthermore, the spectral dependence of the aerosol has been exploited to identify different sources of carbonaceous aerosol (e.g., Sandradewi et al., 2008; Favez et al., 2010; Lack and Langridge, 2013; Massabò et al., 2013, 2015). In general, AAE values close to 1.0 have been found to be related to urban PM where fossil fuel combustion is dominant, while higher AAE values, up to 2.5, have been linked to carbonaceous aerosols produced by wood burning (Harrison et al., 2013, and references therein) and therefore to the presence of BrC.

In previous work by Massabò et al. (2016) the effect of the BrC possibly contained in the sample on the thermal–optical analysis was quantified and exploited to retrieve the BrC concentration from the raw data provided by a standard Sunset Lab analyzer. This first step, suggested modifying/upgrading a Sunset unit by adding the possibility to use a second laser diode in the blue range. This improves the sensitivity to the BrC and allows us to check whether the BrC quantification depends on the adopted wavelength. We finally followed this route and here introduce our modified Sunset analyzer unit, the validation tests, and the results of the first campaign in which the new unit was deployed.

## 2 Materials and methods

### 2.1 The 2-lambda Sunset analyzer

We have modified a commercial thermal–optical transmittance (TOT) instrument (Sunset Lab Inc.). This equipment had been originally designed (Birch and Cary, 1996) with a red laser diode ($\lambda = 635$ nm) to have the possibility to monitor and correct the well-known problem of the formation of pyrolytic carbon by charring (Birch and Cary, 1996; Bond and Bergstrom, 2006; Chow et al., 2007; Cavalli et al., 2010). The assumption that OC is optically inactive at wavelengths greater than 600 nm is at the basis of the technique; therefore the laser beam attenuation is only due to the EC originally present or formed by charring in the sample under analysis. Actually, even at this wavelength, BrC can affect the reliability of the OC/EC separation and the standard methodology can be modified to quantify the BrC concentration (Massabò et al., 2016). Nevertheless, at $\lambda = 635$ nm the BrC mass absorption coefficient, MAC(BrC), remains much smaller than the corresponding MAC(BC) and the modified procedure could/should be implemented at shorter wavelengths to gain in sensitivity.

We have modified our Sunset unit by making possible the alternative use of the standard laser diode at $\lambda = 635$ nm or of a World Star Technologies, 100 mW, laser diode at $\lambda = 405$ nm. This second laser diode can be mounted on top of the Sunset furnace by a homemade adapter (see Fig. 1) and easily exchanged with the native red diode. With the new laser diode, the light detector placed at the bottom of the Sun-
Figure 1. The new $\lambda = 405$ nm laser diode mounted by a steel adapter on the Sunset furnace (a) and comparison with the standard $\lambda = 635$ nm laser diode implemented by the manufacturer (b).

set furnace has to be changed too and we selected a photodiode (PD) Thorlabs FDS1010 coupled with a bandpass filter Thorlabs FBH405-10. The responsivity of the PD FDS1010 around $\lambda = 400$ nm is quite low (about 50 mA W$^{-1}$) but the high power delivered by the laser diode results in signals with an amplitude comparable to the values measured with the original Sunset setup (i.e., laser diode and PD). Furthermore, the FBH405-10 filter cuts all the light background produced by the high temperature of the Sunset furnace, thus preserving the signal-to-noise ratio. Both laser and PD can be exchanged in about 10 min and no particular attention is requested other than the proper alignment to maximize the PD output signal (i.e., the transmittance value displayed by the Sunset control software). We have to note that the original configuration of the Sunset instrument adopts a lock-in amplifier to improve the signal-to-noise ratio of the PD. This is because the signal-to-noise ratio of the PD is quite low, and the lock-in amplifier is used to enhance the signal.

2.2 Test of the new configuration

The new blue-light setup of the Sunset analyzer was tested using both synthetic and real-world aerosol samples, collected on quartz-fiber filters. Synthetic samples were prepared starting with a 5 % (volume) solution of Aquadag, then nebulized by a Blaustein atomizer (BLAM), and collected on quartz-fiber filters. Aquadag is the trade name of a water-based colloidal graphite coating (particle diameters between 50 and 100 nm): these samples can therefore be considered to be composed of EC–BC only. The samples were first sent to an optical characterization by the MWAA instrument (Massabò et al., 2015), which demonstrated that the optical absorption of Aquadag is independent of the wavelength. Actually, Aquadag particles tend to form conglomerates on the filter surface, with dimensions about double the longer wavelength implemented in the MWAA (i.e., 850 nm of the infrared laser diode; Massabò et al., 2015). So, the comparison between the new blue-light and original Sunset setups was made with samples having the same absorption properties. EC and TC quantifications obtained at $\lambda = 635$ nm and $\lambda = 405$ nm were in excellent agreement for both the NIOSH5040 and EU-SAAAR_2 protocols (Cavalli et al., 2010), as shown in Fig. 2 for the whole set of synthetic samples.

A second set of synthetic samples was prepared to mimic the behavior of real-world aerosol samples: a 3 % (weight) solution of ammonium sulfate ($\text{NH}_4\text{SO}_4$) in Aquadag was prepared and nebulized with the BLAM. This way, a scattering compound is mixed to the absorbing Aquadag spherules. The optical absorption measured with MWAA was independent of wavelength with this second set of samples too. The results of the Sunset analysis with both the red and blue laser setups are shown in Fig. 3. This second set of samples was analyzed through the EUSAAR_2 protocol only: we used two punches for each laser in each sample to have a reproducibility check. A strong correlation between the TC and EC values measured in red and blue light was obtained again with a slope close to unity.

A third and final test was performed using a set of daily PM$_{10}$ samples collected by a low-volume sampler (TCR – Tecora, Italy) on quartz-fiber filters (Pall-2500 QAO-UP, 47 mm diameter) in spring 2016 in the urban area of the city of Genoa (Italy). A previous and long set of similar campaigns addressing PM$_{10}$ characterization (e.g., Bove et al., 2014, and references therein) in the same urban area could not identify a sizeable contribution from biomass burning to PM composition, in particular during spring and summer. Such a situation was confirmed by the determination of the Ångström exponent in the present samples by the MWAA. Actually, in the set of 20 PM$_{10}$ samples, the values of the Ångström exponent ranged between 0.9 and 1.2, confirming that black carbon is the sole or totally dominant light-absorbing component in the local PM$_{10}$ (Sandradewi et al., 2008; Harrison et al., 2013). Half of the samples were then sent to Sunset analysis by the NIOSH5040 protocol while the
standard thermal–optical analyses of urban PM samples often give higher EC values (up to 50 %) when performed following the EUSAAR_2 instead of higher-temperature protocols (Subramanian et al., 2006; Zhi et al., 2008; Piazzalunga et al., 2011; Karanasiou et al., 2015). Furthermore, as a by-product of previous PM studies in the urban area of Genoa by a standard Sunset unit, we could observe a systematic and very reproducible 40 % discrepancy between EC values determined in the same samples by EUSAAR_2 and NIOSH5040 protocols (with EC: EUSAAR > EC: NIOSH). Therefore, the thermal–optical analysis in blue light seems to be more sensitive to the charring formation during the EUSAAR_2 protocol.

3 First field campaign and results

The modified Sunset instrument was used for the first time, in conjunction with the MW AA instrument and apportionment methodology (Massabò et al., 2015), to retrieve the MAC (mass absorption coefficient) of brown carbon at the two wavelengths of $\lambda = 635$ and $\lambda = 405$ nm, in a set of samples collected during wintertime at a mountain site.

3.1 Sample collection

Aerosol samples were collected in a small village (Propata, 44°33′52.93″ N, 9°11′05.57″ E, 970 m a.s.l.) situated in the Ligurian Apennines, Italy. Three different sets of PM$_{10}$ aerosol samples were collected by a low-volume sampler (38.3 L min$^{-1}$ by TCR Tecora): the first and the third sets had a filter change set every 24h while the second set was sampled on a 48h basis. In total, 41 (14 + 13 + 14) PM$_{10}$ samples were collected on quartz-fiber filters (Pall, 250QAO-UP, 47 mm diameter), between 2 February and 19 April 2018. Before the sampling, the filters were baked at $T = 700$ °C for
b. The empirical relationship between the light attenuation through the sample, observed in the MWAA and in the Sunset analyzer and at both wavelengths, is then determined. We remind the reader that in the Sunset measurement, the light attenuation is continuously recorded during the analysis; the value characteristic of each blank filter can be retrieved when all the light-absorbing PM

3.2 Laboratory analyses

All filters were weighed before and after sampling in an air-conditioned room (T = 20 ± 1 °C; R.H. = 50% ± 5%), after 48 h conditioning. The gravimetric determination of the PM mass was performed using an analytical microbalance (precision: 1 µg), which was operated inside the conditioned room; electrostatic effects were avoided by the use of a deionizing gun.

After weighing, samples were first optically analyzed by MWAA to retrieve the absorption coefficient (b_{abs}) of PM at five different wavelengths. The EC and OC determination was performed adopting the EUSAAR_2 protocol (Cavalli et al., 2010) with both laser diodes at λ = 635 and at λ = 405 nm (two different punches were extracted from each filter sample).

Finally, the remaining portion of the same quartz-fiber filters underwent a chemical determination of the levoglucosan (1,6-Anhydro-beta-glucopyranose) concentration by high-performance anion exchange chromatograph coupled with pulsed amperometric detection (Piazzalunga et al., 2010). As is well-known in literature, this sugar is one of the typical markers of biomass burning (Vassura et al., 2014).

3.3 Optical apportionment

The MWAA provided the raw data to measure the spectral dependence of the aerosol absorption coefficient (b_{abs}), which can be generally described by the power-law relationship b_{abs}(\lambda) \sim \lambda^{-\text{AAE}}$, where AAE is the Ångström absorption exponent.

The time series of the resulting AAE values is shown in Fig. 5: they range between 1.05 and 1.96 with a mean value of 1.55 ± 0.21. This figure indicates a substantial presence of wood burning in the sampling area. In Massabò et al. (2015) and Bernardoni et al. (2017), an optical apportionment model (the “MWAA model”) based on the measurement of b_{abs} at five wavelengths had been introduced to directly obtain the BrC AAE (\alpha_{\text{BrC}}) and the BrC absorption coefficient (b_{abs}^{\text{BrC}}) at each measured wavelength. It is worth noting that, at the basis of the MWAA model, there is the assumption that BrC is produced by wood combustion only (see Sect. 4 in Massabò et al., 2015; Zheng et al., 2013). In Fig. 5, we report the optical apportionment at λ = 635 and at λ = 405 nm, i.e., at the wavelength of the two laser diodes used in our modified Sunset instrument. At λ = 635 nm, light absorption resulted mainly due to BC from both fossil fuel (FF) and wood burning (WB), and the b_{abs}^{\text{BrC}} average value is 15 % of total b_{abs}, with the notable exception of some days on which it reached values of ∼ 30 %, in correspondence with AAE > 1.9. Instead, at λ = 405 nm, the BrC contribution to light absorption increases up to 33 % (average percentage of total b_{abs}), with a maximum value of 51 %, again when AAE_{exp} > 1.9. The time series of b_{abs}^{\text{BrC}} values at both wavelengths turned out to be well correlated (R² = 0.71) with the levoglucosan (levo, in the following) concentration values, as reported in Fig. 6. The slope of the correlation curve increases by a factor of 5.8 when moving from the red to the blue light.

The average \alpha_{\text{BrC}} value turned out to be 3.9 ± 0.1, in very good agreement with a previous value (\alpha_{\text{BrC}} = 3.8 ± 0.2) obtained in the same site and with the same approach (Massabò et al., 2016). The result is also in agreement with other literature (Yang et al., 2009; Massabò et al., 2015; Chen et al., 2015).

3.4 Brown carbon MAC

The methodology to extract the MAC value for BrC by the coupled use of MWAA and thermal–optical analysis has been introduced in a previous work (Massabò et al., 2016). In that case, a standard (i.e., with a red laser diode only) Sunset unit was used. The entire procedure is described in detail in Massabò et al. (2016); here we briefly summarize the main steps.

a. The fraction of light attenuation due to the BrC is first calculated in each sample with the MWAA raw data.

b. The empirical relationship between the light attenuation through the sample, observed in the MWAA and in the Sunset analyzer and at both wavelengths, is then determined. We remind the reader that in the Sunset measurement, the light attenuation is continuously recorded during the analysis; the value characteristic of each blank filter can be retrieved when all the light-absorbing PM...
has been volatized (i.e., at the end of the thermal protocol).

c. The fraction of light attenuation due to the BrC in the sample is therefore calculated for the Sunset analysis and the initial transmittance value is corrected to estimate the attenuation value that would have been found if BrC were not present in the filter sample.

d. A new split-point position is then determined taking into account the corrected value of the initial transmittance.

e. The OC and EC values determined with the standard and corrected split-point positions are then compared and the difference \( (OC_{cor} - OC_{std} = EC_{std} - EC_{cor}) \) is operatively assumed to be equal to the BrC in the sample. The corresponding BrC atmospheric concentration is finally calculated.

f. The correlation between the values of \( b_{BrC}^{abs} \), provided by the MWAA analysis (see Sect. 3.3) and BrC concentration, is studied to determine the MAC value.

In the present experiment, the procedure was adopted to analyze the thermograms produced with both the red and the blue laser diodes mounted in the Sunset unit: the results are summarized in Fig. 7. Despite a rather high noise in the data, the MAC(BrC) value at the two wavelengths can be determined and it turns out to be \( MAC(BrC) = 9.8 \pm 0.4 \) and \( 23 \pm 1 \text{ m}^2\text{g}^{-1} \), respectively, at \( \lambda = 635 \) and 405 nm. This result deserves some comments.

- The MAC value at \( \lambda = 635 \) nm differs for about 3σ from the result reported in Massabò et al. (2016) and obtained for the same site and in a similar season (i.e., November 2015 to January 2016; \( MAC = 7.0 \pm 0.4 \text{ m}^2\text{g}^{-1} \)). Since differences in the type of wood burned in the past and present campaign cannot be excluded, the two values can be considered to be in fair agreement.

- No comparison with previous or other literature values is possible for the MAC value at \( \lambda = 405 \) nm, given the substantial differences in adopted definitions and methodologies (Yang et al., 2009; Feng et al., 2013;
The ratio between BrC and levo concentration values results in BrC : levo = 0.19 ± 0.02 and 0.42 ± 0.06, respectively, when considering the BrC concentration determined by MWAA + Sunset at λ = 635 and 405 nm. In other words, the operative procedure, introduced in Massabò et al. (2016), results in different BrC concentration values according to the considered/wavelength. This fact can be interpreted in different ways: while the analytical sensitivity is higher at λ = 405 nm and the corresponding BrC values could be considered to be more firm, the category of compounds collected under the label “brown carbon” could be itself “wavelength dependent”. The latter would imply that the BrC concentration cannot be defined separately from the wavelength and that its meaning is even more “operative” than in the case for the more widespread OC and EC fractions. As a matter of fact, while the $b_{\text{abs}}^{\text{BrC}}$ values discussed in Sect. 3.3 increase by a factor of 5.8 moving from λ = 635 to λ = 405 nm, the corresponding variation in the MAC(OC) values is by a factor of 2.3 only. This is because the BrC concentration determined at λ = 405 nm is twice the value measured at λ = 635 nm. The purposes and the limits of the present study prevent any firm conclusion on the alternative explanation: BrC definition is wavelength dependent or the analysis in red light is not sensitive enough.

- When considering the OC$_{WB}$ : levo concentration ratio, the MWAA analysis at λ = 635 and λ = 405 nm gives very compatible results, with a mean value of OC$_{WB}$ : levo = 4.5 ± 0.5.

4 Conclusions

We introduced a modified version of a commercial Sunset Lab Inc. OC/EC analyzer. We upgraded the standard instrument unit making possible the alternative use of a red ($\lambda = 635$ nm) or blue ($\lambda = 405$ nm) laser diode to monitor the light transmittance through the sample during the thermal cycle. The analytical performance of the new setup has been tested with both artificial and real-world aerosol samples.

The new Sunset setup was used to analyze a set of samples collected during mostly wintertime at a mountain site of the Italian Apennines. We retrieved brown carbon concentration values directly from the Sunset thermograms following Massabò et al. (2016). Exploiting the synergic information provided by the multi-wavelength absorbance analyzer, MWAA (Massabò et al., 2015), we could obtain the MAC(OC) at the two wavelengths. The result at λ = 635 nm (MAC = 9.8 ± 0.4 m$^2$ g$^{-1}$) is in fair agreement with a previous study performed for the same site in winter 2015–2016. To our knowledge, the result at λ = 405 nm, MAC = 23 ± 1 m$^2$ g$^{-1}$, is the sole direct observation at this wavelength.

In our findings, the ratio between BrC and levo concentration values depends on the wavelength of the transmittance.
signal adopted during the thermal–optical analysis. This behavior could be due to (1) a better accuracy of the results in blue light, more sensitivity to BrC, or (2) the definition of BrC itself, which has to be considered wavelength dependent. The present results do not allow any conclusive statement on this issue: actually, the label “brown carbon”, as well as the widely used “organic and elemental carbon”, comes from an operatine definition, which is not without ambiguity.

**Data availability.** We provide all the original research data at the following permanent URL, available through the website of our laboratory (https://labfisat2.ge.infn.it/index.php/data-repository, last access: 6 June 2019).

**Author contributions.** DM and PP designed and took care of the modification of the Sunset unit. DM, PP, VV, and AA prepared and carried on the sampling campaign. VV, AA, and DM performed the two-wavelength measurements. DM and PP prepared the article with contributions from the other authors.

**Competing interests.** The authors declare that they have no conflict of interest.

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